### Sandwich problems on orientations

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27 janvier 2011

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### Definitions

#### In-degree constrained orientation

#### Sandwich problems

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- Ø Functions
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  - Degree constrained
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    - Undirected graphs
    - Ø Mixed graphs

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• Given an undirected graph G and a set X of vertices of G,

- $d_G(X)$  = number of edges of G entering X,
- $i_G(X)$  = number of edges of G in X,
- $e_G(X)$  = number of edges of G incident to X.

#### • Given a directed graph D and a set X of vertices of D,

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# $b(X) + b(Y) \ge b(X \cap Y) + b(X \cup Y).$

• The function b is called supermodular if -b is submodular.

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#### Examples

- Submodular functions :
  - the degree function d<sub>G</sub>(Z) of an undirected graph G,
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- Modular function :
  - the function  $m(X) = \sum_{x \in X} m(x)$ .

# Matroids

### Definition

A set system M = (V, M) is called a matroid if M satisfies :

Ø ∈ M,
if F ∈ M and F' ⊆ F, then F' ∈ M,
if F, F' ∈ M and |F| > |F'|, then ∃ f ∈ F \ F' : F' ∪ f ∈ M.

The rank of M is the maximum size of a set in M.

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#### Examples

- Forests of a graph,
- 2 Linearly independent vectors of a vector space.

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#### Algorithmic aspects

- **Q** Matroid is given by an oracle that answers if  $F \in \mathcal{M}$ .
- **Q** Greedy algorithm finds a set of M of maximum size,
- more generally, given a matroid M, F<sub>1</sub> ∈ M and |F<sub>1</sub>| ≤ k ≤ rank of M, it finds F ∈ M that contains F<sub>1</sub> and that has size k.

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• A pair (p, b) of set functions on V is a strong pair if

- *p* is supermodular,
- b is submodular,
- they are compliant : for all  $X, Y \subset V$ ,

 $p(X) - p(X \setminus Y) \leq b(Y) - b(Y \setminus X).$ 

**2** If (p, b) is a strong pair then the polyhedron  $Q(p, b) = \{z \in \mathbb{R}^V : p(X) \le z(X) \le b(X) \ \forall X \subseteq b(X) \ \forall X \subseteq b(X) \ \forall X \in b($ 

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### Remarks

**()** A pair  $(m_1, m_2)$  of modular functions is a strong pair if and only if

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② The pair  $(i_G, e_G)$  is a strong pair.

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Output: The pair (i<sub>G</sub>, e<sub>G</sub>) is a strong pair.

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#### Theorem (Frank, Tardos '88)

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### In-degree constrained orientation : Characterization

#### *m*-ORIENTATION PROBLEM

Instance : Given a graph 
$$G = (V, E)$$
 and  $m : V \to \mathbb{Z}_+$ .



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#### *m***-ORIENTATION** PROBLEM

Instance : Given a graph G = (V, E) and  $m : V \to \mathbb{Z}_+$ . *Question* : Does there exist an orientation  $\vec{G}$  whose in-degree vector is m that is  $d_{\vec{G}}^-(v) = m(v) \ \forall v \in V$ ?



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#### Theorem (Hakimi'65)

The answer is YES if and only if  $m(X) \ge i_G(X) \ \forall X \subseteq V, m(V) = |E|$ .



### Applications

#### • Eulerian orientation of an undirected graph (Euler),

- Eulerian orientation of a mixed graph (Ford-Fulkerson),
- Perfect matching in a bipartite graph (Hall, Frobenius),
- *f*-factor in a bipartite graph (Ore, Tutte).



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- 3 If  $d_{\vec{c}}(v) \leq m(v) \ \forall v$ , then it is an *m*-orientation, Stop.
- Otherwise, take a big vertex  $v : d_{\vec{G}}(v) > m(v)$ .
- Let X be the set of vertices u from which there exists a path  $P_u$  to v.
- **③** Take a small vertex  $u \in X : d^{-}_{\vec{G}}(u) < m(u)$ .
- Let  $\vec{G}'$  be obtained from  $\vec{G}$  by reorienting  $P_u$ . Go to Step 2.
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  (Indeed,  $|A| = \sum_{v \in V} d^-_{\vec{G}}(v) \leq \sum_{v \in V} m(v) = m(V) = |E| = |A|.)$
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- Take a small vertex  $u \in X$ :  $d_{\vec{G}}^-(u) < m(u)$ . (It exists because  $\sum_{x \in X} m(x) = m(X) \ge i_G(X) = i_G(X) + d_{\vec{C}}^-(X) = \sum_{x \in X} d_{\vec{C}}^-(x)$ .)
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- Let  $\vec{G}'$  be obtained from  $\vec{G}$  by reorienting  $P_u$ . Go to Step 2.

This algorithm finds an *m*-orientation in polynomial time.

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#### GRAPH SANDWICH PROBLEM FOR PROPERTY $\square$

Instance : Given graphs  $G_1 = (V, E_1)$  and  $G_2 = (V, E_2)$  with  $E_1 \subset E_2$ . Question : Does there exist  $E_1 \subseteq E \subseteq E_2$  such that the graph G = (V, E) satisfies property  $\Pi$ ?

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### Golumbic, Kaplan, Shamir '95

- Split graphs (in P), [V=C+I]
- Cographs (in P), [no induced P<sub>4</sub>]
- Eulerian graphs,
- Comparability graphs (NP-complete), [has a transitive orientation]
- Permutation graphs (NP-complete), [intersection graph of the chords of a permutation diagram]
- Interval graphs (NP-complete). [intersection graph of a family of intervals on the real line]

# Degree Constrained Sandwich Problems

#### UNDIRECTED CASE

$$G_1, G_2$$
 undirected graphs,  $\Pi = \{ d_G(v) = m(v) \ \forall v \in V \} \ (m : V \to \mathbb{Z}_+).$ 

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#### Remark

It is equivalent to the *f*-factor problem. The answer is YES if and only if there exists an  $(m(v) - d_{G_1}(v))$ -factor in the graph  $G_0 = (V, E_2 \setminus E_1)$ .

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 $D_1, D_2$  directed graphs and  $\Pi = \{d_D^-(v) = m(v) \ \forall v \in V\} \ (m : V \to \mathbb{Z}_+).$ 

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#### Exercise

The answer is YES if and only if  $d_{D_2}^-(v) \ge m(v) \ge d_{D_1}^-(v) \ \forall v \in V$ .

Z. Szigeti (G-SCOP, Grenoble)

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## m-orientation Sandwich Problem 1

#### UNDIRECTED GRAPHS :

 $G_1, G_2$  undirected graphs,  $\Pi = G$  has an *m*-orientation  $(m : V \to \mathbb{Z}_+)$ .
# m-orientation Sandwich Problem for Undirected Graphs :

Instance : Given undirected graphs  $G_1 = (V, E_1)$  and  $G_2 = (V, E_2)$  with  $E_1 \subseteq E_2$  and a non-negative integer vector m on V. Question : Does there exist a sandwich graph G = (V, E)  $(E_1 \subseteq E \subseteq E_2)$  that has an orientation  $\vec{G}$  whose in-degree vector is m that is  $d_{\vec{G}}^-(v) = m(v) \ \forall v \in V$ ?

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Theorem (de Gevigney, Klein, Nguyen, Szigeti 2010)

The answer is YES if and only if  $i_{E_1}(X) \leq m(X) \leq e_{E_2}(X) \ \forall X \subseteq V$ .

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The answer is YES if and only if  $i_{E_1}(X) \leq m(X) \leq e_{E_2}(X) \ \forall X \subseteq V$ .

#### Remark

 $E_1 = E_2$  : equivalent to Hakimi's Theorem.

The answer is YES if and only if  $i_{E_1}(X) \leq m(X) \leq e_{E_2}(X) \ \forall X \subseteq V$ .

- Necessity : if sandwich graph G that has an *m*-orientation exists
  - Each edge that contributes to  $i_{E_1}(X)$  must contribute to m(X) and • only the edges that contributes to  $e_{E_2}(X)$  may contribute to m(X).

# **2** Sufficiency :

- Let  $\mathcal{M} = \{F \subseteq E_2 : m(X) \ge i_F(X) \ \forall X \subseteq V\}.$
- $\bigcirc \ \mathcal{M} \text{ is a matroid of rank min} \{ m(V(F)) + |E_2 \setminus F| \ : F \subseteq E_2 \}.$
- By  $i_{E_1}(X) \leq m(X) \ \forall X \subseteq V, E_1 \in \mathcal{M}$ .
- For all F ⊆ E<sub>2</sub>, by m(X) ≤ e<sub>E<sub>2</sub></sub>(X) ∀X ⊆ V, applied for V \ V(F), and by 2, rank of M is ≥ m(V).
- **O** By 3 and 4, there exists  $E \in \mathcal{M}$  that contains  $E_1$ , of size m(V).
- By 5, G = (V, E) is a sandwich graph that has, by Hakimi's Theorem, an m-orientation.

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**Decide** : The answer is YES if and only if both submodular functions  $b_1(X) = m(X) - i_{E_1}(X)$  and  $b_2(X) = e_{E_2}(X) - m(X)$  have minimum value 0.

Submodular function minimization is polynomial (Schrijver; Fleicher, Fujishige, Iwata'2000).

Find : By the previous matroid property, greedy algorithm finds the sandwich graph G, and as seen, the *m*-orientation of G is easy to find.

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# m-orientation Sandwich Problem 2

#### MIXED GRAPHS :

 $G_1, G_2$  mixed graphs,  $\Pi = G$  has an *m*-orientation ( $m : V \to \mathbb{Z}_+$ ).

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#### *m*-orientation Sandwich Problem for Mixed Graphs :

Instance : Given mixed graphs  $G_1 = (V, E_1 \cup A_1)$  and  $G_2 = (V, E_2 \cup A_2)$ with  $E_1 \subseteq E_2$ ,  $A_1 \subseteq A_2$  and a non-negative integer vector m on V. Question : Does there exist a sandwich mixed graph  $G = (V, E \cup A)$  with  $E_1 \subseteq E \subseteq E_2$  and  $A_1 \subseteq A \subseteq A_2$  that has an orientation  $\vec{G} = (V, \vec{E} \cup A)$ whose in-degree vector is m that is  $d_{\vec{c}}(v) = m(v) \ \forall v \in V$ ?

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Theorem (de Gevigney, Klein, Nguyen, Szigeti 2010)

The answer is YES if and only if  $i_{E_1}(X) + \sum_{v \in X} d_{A_1}^-(v) \le m(X) \le e_{E_2}(X) + \sum_{v \in X} d_{A_2}^-(v) \ \forall X \subseteq V.$ 

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## Theorem (de Gevigney, Klein, Nguyen, Szigeti 2010)

The answer is YES if and only if  $i_{E_1}(X) + \sum_{v \in X} d_{A_1}^-(v) \le m(X) \le e_{E_2}(X) + \sum_{v \in X} d_{A_2}^-(v) \ \forall X \subseteq V.$ 

#### Special cases

**Q**  $E_2 = \emptyset$  : result on the In-degree Constrained Sandwich Problem.

**2**  $A_2 = \emptyset$  : result on *m*-orient. Sandwich Problem for Undirected Graphs.

- Suppose that  $E_1 \subseteq E \subseteq E_2$  has been choosen and oriented with in-degree vector  $m_1$ .
- **2** Then the problem is reduced to the DIR. DEGREE CONST. SANDW. PROBLEM with  $m_2(v) = m(v) - m_1(v) \ \forall v \in V$  for  $A_1 \subseteq A_2$ ,
- ③ which has a solution if and only if  $d^-_{A_1}(v) \leq m_2(v) \leq d^-_{A_2}(v) \; \forall v \in V.$
- 3 or equivalently (1)  $m(v) d_{A_2}^-(v) \le m_1(v) \le m(v) d_{A_1}^-(v) \ \forall v \in V.$
- **3** The problem is reduced to the  $m_1$ -ORIENTATION SANDWICH PROBLEM FOR UNDIRECTED GRAPHS for  $E_1 \subseteq E_2$ ,
- which has a solution iff (2)  $i_{E_1}(X) \le m_1(X) \le e_{E_2}(X) \ \forall X \subseteq V$ .
- **②** The MIXED *m*-ORIENT. SANDWICH PROBLEM has an YES answer if and only if there exists a function  $m_1 : V \to \mathbb{Z}$  satisfying (1) and (2).
- **3** By the Generalized Polymatroid Intersection Theorem, applied for  $p_1(X) = \sum_{v \in X} (m(v) d_{A_2}^-(v)), b_1(X) = \sum_{v \in X} (m(v) d_{A_1}^-(v)), p_2(X) = i_{E_1}(X), b_2(X) = e_{E_2}(X), \text{ we are done.}$

- Suppose that E<sub>1</sub> ⊆ E ⊆ E<sub>2</sub> has been choosen and oriented with in-degree vector m<sub>1</sub>.
- **2** Then the problem is reduced to the DIR. DEGREE CONST. SANDW. PROBLEM with  $m_2(v) = m(v) m_1(v) \ \forall v \in V$  for  $A_1 \subseteq A_2$ ,
- 3 which has a solution if and only if  $d^-_{A_1}(v) \le m_2(v) \le d^-_{A_2}(v) \; \forall v \in V.$
- 3 or equivalently (1)  $m(v) d_{A_2}^-(v) \le m_1(v) \le m(v) d_{A_1}^-(v) \ \forall v \in V.$
- **(3)** The problem is reduced to the  $m_1$ -ORIENTATION SANDWICH PROBLEM FOR UNDIRECTED GRAPHS for  $E_1 \subseteq E_2$ ,
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- **3** By the Generalized Polymatroid Intersection Theorem, applied for  $p_1(X) = \sum_{v \in X} (m(v) d_{A_2}^-(v)), b_1(X) = \sum_{v \in X} (m(v) d_{A_1}^-(v)), p_2(X) = i_{E_1}(X), b_2(X) = e_{E_2}(X), \text{ we are done.}$

- Suppose that E<sub>1</sub> ⊆ E ⊆ E<sub>2</sub> has been choosen and oriented with in-degree vector m<sub>1</sub>.
- **2** Then the problem is reduced to the DIR. DEGREE CONST. SANDW. PROBLEM with  $m_2(v) = m(v) m_1(v) \ \forall v \in V$  for  $A_1 \subseteq A_2$ ,
- **3** which has a solution if and only if  $d_{A_1}^-(v) \le m_2(v) \le d_{A_2}^-(v) \ \forall v \in V$ .
- or equivalently (1)  $m(v) d_{A_2}^-(v) \le m_1(v) \le m(v) d_{A_1}^-(v) \ \forall v \in V.$
- The problem is reduced to the  $m_1$ -ORIENTATION SANDWICH PROBLEM FOR UNDIRECTED GRAPHS for  $E_1 \subseteq E_2$ ,
- which has a solution iff (2)  $i_{E_1}(X) \leq m_1(X) \leq e_{E_2}(X) \ \forall X \subseteq V$ .
- The MIXED *m*-ORIENT. SANDWICH PROBLEM has an YES answer if and only if there exists a function  $m_1 : V \to \mathbb{Z}$  satisfying (1) and (2).

**3** By the Generalized Polymatroid Intersection Theorem, applied for  $p_1(X) = \sum_{v \in X} (m(v) - d_{A_2}^-(v)), b_1(X) = \sum_{v \in X} (m(v) - d_{A_1}^-(v)), p_2(X) = i_{E_1}(X), b_2(X) = e_{E_2}(X), \text{ we are done.}$ 

- Suppose that E<sub>1</sub> ⊆ E ⊆ E<sub>2</sub> has been choosen and oriented with in-degree vector m<sub>1</sub>.
- **2** Then the problem is reduced to the DIR. DEGREE CONST. SANDW. PROBLEM with  $m_2(v) = m(v) m_1(v) \ \forall v \in V$  for  $A_1 \subseteq A_2$ ,
- 3 which has a solution if and only if  $d_{A_1}^-(v) \le m_2(v) \le d_{A_2}^-(v) \ \forall v \in V$ .
- or equivalently (1)  $m(v) d_{A_2}^-(v) \le m_1(v) \le m(v) d_{A_1}^-(v) \ \forall v \in V.$
- The problem is reduced to the  $m_1$ -ORIENTATION SANDWICH PROBLEM FOR UNDIRECTED GRAPHS for  $E_1 \subseteq E_2$ ,
- which has a solution iff (2)  $i_{E_1}(X) \leq m_1(X) \leq e_{E_2}(X) \ \forall X \subseteq V$ .
- The MIXED *m*-ORIENT. SANDWICH PROBLEM has an YES answer if and only if there exists a function *m*<sub>1</sub> : *V* → Z satisfying (1) and (2).
- Observe the Generalized Polymatroid Intersection Theorem, applied for  $p_1(X) = \sum_{v \in X} (m(v) - d_{A_2}^-(v)), b_1(X) = \sum_{v \in X} (m(v) - d_{A_1}^-(v)),$  $p_2(X) = i_{E_1}(X), b_2(X) = e_{E_2}(X),$  we are done.

The answer is YES if and only if  $i_{E_1}(X) + \sum_{v \in X} d_{A_1}^-(v) \le m(X) \le e_{E_2}(X) + \sum_{v \in X} d_{A_2}^-(v) \ \forall X \subseteq V.$ 

The answer is YES if and only if  $i_{E_1}(X) + \sum_{v \in X} d_{A_1}^-(v) \le m(X) \le e_{E_2}(X) + \sum_{v \in X} d_{A_2}^-(v) \ \forall X \subseteq V.$ 

- Decide : The answer is YES if and only if both submodular functions  $b_1^*(X) = b_1(X) p_2(X)$  and  $b_2^*(X) = b_2(X) p_1(X)$  have minimum value 0. Submodular function minimization is polynomial.
- **2** Find :  $\vec{G} = (V, \vec{E} \cup A)$  whose in-degree vector is *m*.
  - *m*<sub>1</sub>: *Q*(*p*<sub>1</sub>, *b*<sub>1</sub>) is a box, so *R* = *Q*(*p*<sub>1</sub>, *b*<sub>1</sub>) ∩ *Q*(*p*<sub>2</sub>, *b*<sub>2</sub>) is a *g*-polymatroid, hence an integer vector *m*<sub>1</sub> can be found in *R* by greedy algorithm.
  - **○**  $\tilde{E}$  :  $m_1$ -orientation Sandwich Problem for Undirected Graphs for  $E_1 \subseteq E_2$ ,
  - A: Dir. Degree Const. Sandw. Problem with  $m_2 = m m_1$  for  $A_1 \subseteq A_2$ .

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The answer is YES if and only if  $i_{E_1}(X) + \sum_{v \in X} d_{A_1}^-(v) \le m(X) \le e_{E_2}(X) + \sum_{v \in X} d_{A_2}^-(v) \ \forall X \subseteq V.$ 

• Decide : The answer is YES if and only if both submodular functions  $b_1^*(X) = b_1(X) - p_2(X)$  and  $b_2^*(X) = b_2(X) - p_1(X)$  have minimum value 0. Submodular function minimization is polynomial.

# **2** Find : $\vec{G} = (V, \vec{E} \cup A)$ whose in-degree vector is *m*.

- m<sub>1</sub>: Q(p<sub>1</sub>, b<sub>1</sub>) is a box, so R = Q(p<sub>1</sub>, b<sub>1</sub>) ∩ Q(p<sub>2</sub>, b<sub>2</sub>) is a g-polymatroid, hence an integer vector m<sub>1</sub> can be found in R by greedy algorithm.
- ②  $\vec{E}$  : *m*<sub>1</sub>-ORIENTATION SANDWICH PROBLEM FOR UNDIRECTED GRAPHS for *E*<sub>1</sub> ⊆ *E*<sub>2</sub>,
- ◎ A : Dir. Degree Const. Sandw. Problem with  $m_2 = m m_1$  for  $A_1 \subseteq A_2$ .

The answer is YES if and only if  $i_{E_1}(X) + \sum_{v \in X} d_{A_1}^-(v) \le m(X) \le e_{E_2}(X) + \sum_{v \in X} d_{A_2}^-(v) \ \forall X \subseteq V.$ 

- Decide : The answer is YES if and only if both submodular functions  $b_1^*(X) = b_1(X) p_2(X)$  and  $b_2^*(X) = b_2(X) p_1(X)$  have minimum value 0. Submodular function minimization is polynomial.
- **2** Find :  $\vec{G} = (V, \vec{E} \cup A)$  whose in-degree vector is *m*.
  - $m_1 : Q(p_1, b_1)$  is a box, so  $R = Q(p_1, b_1) \cap Q(p_2, b_2)$  is a *g*-polymatroid, hence an integer vector  $m_1$  can be found in *R* by greedy algorithm.
  - ②  $\vec{E}$ : *m*<sub>1</sub>-ORIENTATION SANDWICH PROBLEM FOR UNDIRECTED GRAPHS for *E*<sub>1</sub> ⊆ *E*<sub>2</sub>,
  - ◎ A : DIR. DEGREE CONST. SANDW. PROBLEM with  $m_2 = m m_1$  for  $A_1 \subseteq A_2$ .

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The answer is YES if and only if  $i_{\tau}(X) + \sum_{x \in T} d^{-}(x) \le m(X) \le e_{\tau}(X) + \sum_{x \in T} d^{-}(x)$ 

 $i_{E_1}(X) + \sum_{v \in X} d_{A_1}^-(v) \le m(X) \le e_{E_2}(X) + \sum_{v \in X} d_{A_2}^-(v) \ \forall X \subseteq V.$ 

- Decide : The answer is YES if and only if both submodular functions  $b_1^*(X) = b_1(X) p_2(X)$  and  $b_2^*(X) = b_2(X) p_1(X)$  have minimum value 0. Submodular function minimization is polynomial.
- **2** Find :  $\vec{G} = (V, \vec{E} \cup A)$  whose in-degree vector is *m*.
  - $m_1 : Q(p_1, b_1)$  is a box, so  $R = Q(p_1, b_1) \cap Q(p_2, b_2)$  is a *g*-polymatroid, hence an integer vector  $m_1$  can be found in *R* by greedy algorithm.
  - ②  $\vec{E}$ : *m*<sub>1</sub>-ORIENTATION SANDWICH PROBLEM FOR UNDIRECTED GRAPHS for *E*<sub>1</sub> ⊆ *E*<sub>2</sub>,
  - A: DIR. DEGREE CONST. SANDW. PROBLEM with  $m_2 = m m_1$  for  $A_1 \subseteq A_2$ .

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The answer is YES if and only if  $i_{E_1}(X) + \sum_{v \in X} d_{A_1}^-(v) \le m(X) \le e_{E_2}(X) + \sum_{v \in X} d_{A_2}^-(v) \ \forall X \subseteq V.$ 

- Decide : The answer is YES if and only if both submodular functions  $b_1^*(X) = b_1(X) p_2(X)$  and  $b_2^*(X) = b_2(X) p_1(X)$  have minimum value 0. Submodular function minimization is polynomial.
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  - $m_1$ :  $Q(p_1, b_1)$  is a box, so  $R = Q(p_1, b_1) \cap Q(p_2, b_2)$  is a *g*-polymatroid, hence an integer vector  $m_1$  can be found in R by greedy algorithm.
  - ②  $\vec{E}$ : *m*<sub>1</sub>-ORIENTATION SANDWICH PROBLEM FOR UNDIRECTED GRAPHS for *E*<sub>1</sub> ⊆ *E*<sub>2</sub>,
  - ③ A : DIR. DEGREE CONST. SANDW. PROBLEM with  $m_2 = m m_1$  for  $A_1 \subseteq A_2$ .

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# Example



Z. Szigeti (G-SCOP, Grenoble)

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# Strongly connected *m*-orientation Sandwich Problem

### Strongly connected m-orientation Sandwich Problem :

 $G_1, G_2$  undirected graphs,  $\Pi = G$  has an *m*-orientation that is strongly connected (*m* :  $V \to \mathbb{Z}_+$ ).

# Strongly connected *m*-orientation Sandwich Problem

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#### Remark

It is NP-complete.

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# Strongly connected *m*-orientation Sandwich Problem

#### Strongly connected m-orientation Sandwich Problem :

 $G_1, G_2$  undirected graphs,  $\Pi = G$  has an *m*-orientation that is strongly connected (*m* :  $V \to \mathbb{Z}_+$ ).

#### Remark

It is NP-complete. The special case  $E_1 = \emptyset$ ,  $m(v) = 1 \ \forall v \in V$  is equivalent to decide if  $G_2$  has a Hamiltonian cycle.



Thank you for your attention !

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