

🍏 First talk in Bonn

"I was thinking that we all learn by experience, but some of us have to go to **summer school**."

Peter De Vries

Theme :

Submodular Functions

Connectivity Problems

Framework :

1 Graphs : Undirected, Directed

2 Hypergraphs : Undirected, Directed

3 Set functions : Submodular, Supermodular (intersecting, crossing, skew)

Topics :

1 **Touching** : 1 Orientation 2 Augmentation

2 **little bit touching** : 1 vertex-connectivity, 2 weighted versions (usually NP-complete)

3 **Not touching** : 1 Edge-disjoint paths 2 spanning subgraph 3 packing problems,

Reminders :

Example :

Undirected graph $G=(V,E)$,

Directed graph $\vec{G}=(V,A)$.

Notation :

Undirected cut : $\delta_G(X)$ = # of edges leaving X in G ,

Directed cut : $\delta_{\vec{G}}(X)$ = # of arcs leaving X in \vec{G} , $\rho_{\vec{G}}(X)$ = # of arcs entering X in \vec{G} .

Definition :

Undirected local edge-connectivity : $\lambda_G(u,v)$ = maximum # of edge-disjoint paths from u to v in G ,

Directed local arc-connectivity : $\lambda_{\vec{G}}(u,v)$ = maximum # of arc-disjoint paths from u to v in \vec{G} .

Results :

Undirected **Menger** : $\lambda_G(u,v) \geq k \Leftrightarrow \delta_G(X) \geq k$ for all $v \in X \subseteq V-u$.

Directed **Menger** : $\lambda_{\vec{G}}(u,v) \geq k \Leftrightarrow \rho_{\vec{G}}(X) \geq k$ for all $v \in X \subseteq V-u$.

Remark :

Undirected version follows from directed version (by replacing the edges by opposite arcs)

Directed version follows easily from flows ($c \equiv 1$: k paths \Leftrightarrow flow of value k)

Definition :

Undirected global edge-connectivity : G is k -edge-connected \Leftrightarrow

1 if $\lambda_G(u,v) \geq k \forall u,v \in V$, 2 $\delta_G(X) \geq k \forall \emptyset \neq X \subseteq V$, 3 $G-F$ is connected $\forall F \subseteq E, |F| < k$.

Directed global arc-connectivity : \vec{G} is k -arc-connected \Leftrightarrow

1 if $\lambda_{\vec{G}}(u,v) \geq k \forall (u,v) \in V \times V$, 2 $\rho_{\vec{G}}(X) \geq k \forall \emptyset \neq X \subseteq V$, 3 $\vec{G}-F$ is strongly-connected $\forall F \subseteq A, |F| < k$.

Definition :

Undirected vertex-connectivity : $G-X$ is connected for all $X \subseteq V, |F| < k$.

Directed vertex-connectivity : $\vec{G}-X$ is strongly-connected for all $X \subseteq V, |F| < k$.

"Method is much, technique is much, but inspiration is even more." Benjamin Cardozo

Methods : 1 **Uncrossing**, 2 **Splitting off**.

Definition : Function $b: 2^V \rightarrow Z$ ($p: 2^V \rightarrow Z$) is

1 **Submodular** : if $b(X) + b(Y) \geq b(X \cap Y) + b(X \cup Y)$ for all $X, Y \subseteq V$,

Exemple : 1 degree functions : $\delta_G, \delta_{\vec{G}}, \rho_{\vec{G}}$, 2 rank function of a matroid.

Remark : Useful inequalities about degree functions :

$$1 \quad d(X) + d(Y) = d(X \cap Y) + d(X \cup Y) + 2d(X-Y, Y-X)$$

$$2 \quad d(X) + d(Y) = d(X-Y) + d(Y-X) + 2d(X \cap Y, V-(X \cup Y))$$

$$3 \quad d(A) + d(B) + d(C) \geq d(A \cap B \cap C) + d(A - (B \cup C)) + d(B - (A \cup C)) + d(C - (A \cup B)) \\ + 2d(A \cap B \cap C, V - (A \cup B \cup C))$$

$$4 \quad \rho(X) + \rho(Y) = \rho(X \cap Y) + \rho(X \cup Y) + d(X-Y, Y-X)$$

$$5 \quad \rho(X) + \rho(Y) = \rho(X-Y) + \rho(Y-X) + d(V-(X \cup Y), X \cap Y) + \rho(X \cap Y) - \delta(X \cap Y)$$

$$6 \quad \sum_{v \in X} (\rho(v) - \delta(v)) = \rho(X) - \delta(X)$$

2 **Supermodular** : if $p(X) + p(Y) \leq p(X \cap Y) + p(X \cup Y)$ for all $X, Y \subseteq V$,

Exemple : 1 $i_G(X)$ = number of edges in X .

3 **Intersecting supermodular** : Supermodular on intersecting sets ($X \cap Y \neq \emptyset$),

4 **Crossing supermodular** : Supermodular on crossing sets ($X \cap Y, X-Y, Y-X, V-(X \cup Y) \neq \emptyset$).

"Uncrossing can handle just about any situation you will come across in your Magical life."

Definition :

1 G covers a function $p: 2^V \rightarrow Z$ if $d_G(X) \geq p(X)$ for all $X \subseteq V$.

2 X is *tight* if $d_G(X) = p(X)$.

1 **Uncrossing Lemma** : If G covers a crossing supermodular function p then intersection and union of crossing tight sets are tight.

Proof : $p(X) + p(Y) = d(X) + d(Y) \geq d(X \cap Y) + d(X \cup Y) \geq p(X \cap Y) + p(X \cup Y) \geq p(X) + p(Y)$. \square

Application (Theorem of [Mader](#)) : A minimally k -edge-connected graph has a vertex of degree k .

Proof : 0 G covers $p(X) = \{k \text{ if } \emptyset \neq X \subseteq V, 0 \text{ otherwise}\}$; p is crossing supermodular.

1 Each edge belongs to a tight cut (by minimality of G).

2 Let $\delta_G(S)$ be a minimal tight cut. Suppose that S is not a vertex.

3 There exists an edge e in S (by minimality of S).

- 4 Let $\delta_G(T)$ be a tight cut containing e .
- 5 Note that S and T are crossing (by minimality of S).
- 6 Then $S \cap T$ is a smaller tight cut, \downarrow . \square

Exercise (Theorem of [Mader](#)) : A minimally k -arc-connected digraph contains a vertex of in- and out-degree k .

[Proof](#) by uncrossing but much more complicated.

OPEN problem : Minimally k -vertex-connected digraph contains a vertex of in- and out-degree k . ([Mader](#)) (for $k=2$ it is proved by [Mader](#))

“When I **split** an infinitive, God damn it, I split it so it will stay split.” Raymond Chandler

Definition :

Splitting off at s : $G=(V+s,E)$, $su, sv \in E$. $G_{uv} := (V+s, E - su - sv + uv)$.

Complete Splitting off at s : Executing a sequence of splitting off and deleting the vertex s when its degree becomes 0.

- 2 **Splitting Theorem** ([Lovász](#)) : If $G=(V+s,E)$ is k -edge-connected ($k \geq 2$) and $d(s)$ is even, then \exists a complete splitting off at s that preserves k -edge-connectivity.

Proof : 1 We show that for every su there exists sv so that G_{uv} is k -edge-connected in V .

- 2 If not, there exists a *dangerous* set ($d(X) \leq k+1$) containing u and v .
- 3 Let M be a minimal set of such dangerous sets containing all the neighbors of s .
- 4 Any set X of M contains at most $d(s)/2$ neighbors of s .
 $(k+1 \geq d(X) = d(V-X) - d(s, V-X) + d(s, X) \geq k - d(s) + 2d(s, X)$)
- 5 For A, B, C in M , $3(k+1) \geq d(A) + d(B) + d(C) \geq d(A \cap B \cap C) + d(A - (B \cup C)) + d(B - (A \cup C)) + d(C - (A \cup B)) + 2d(A \cap B \cap C, V+s - (A \cup B \cup C)) \geq k+k+k+k+2$, \downarrow . \square

Application :

- 1 Theorem of [Lovász](#) : constructive characterization of $2k$ -e-c graphs, starting from K_{2^2k} , by 1 adding edges, 2 pinching k edges.

Proof : G must be reduced to K_{2^2k} via $2k$ -e-c graphs by the inverse operations :

- 1 deleting an edge and 2 splitting of at a vertex of degree $2k$.

This can be done by [Mader's](#) and by [Lovász' theorems](#). \square

Exercise : [Prove Mader's](#) directed splitting off theorem.

Exercise : Theorem of [Mader](#) : constructive characterization of k -arc-connected digraphs, starting from $K_{2^k}(k, k)$ by 1 adding arcs, 2 pinching k arcs.

OPEN problem : Construction of 2-vertex-connected digraphs.

- 2 Weak Orientation theorem of [Nash-Williams](#) : G has a k -arc-connected orientation \vec{G} if and only if G is $2k$ -edge-connected.

Proof : Necessity : For all $\emptyset \neq X \subset V$, $d_G(X) = p_{\vec{G}}(X) + \delta_{\vec{G}}(X) \geq k+k$.

Sufficiency : By constructive characterization.

- 0 K_{2^2k} has trivially a k -arc-connected orientation.

- 1 If an edge is added then it can be oriented in any direction.
 - 2 If a pinching is executed then the natural orientation is OK. □
 - 3 Augmentation (see later).
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"Every **orientation** presupposes a disorientation." Hans Magnus Enzensberger

Story for orientation :

Mr. Orient, the Mayor of the city called "The Edges",
having wanted to make the main street a one way street,
unfortunately made a mistake by ordering the ONE WAY sign
and received 100 signs, as many as the number of streets in the city.
To be justified, he decides to use all the signs, i.e. to make all the streets of the city one way (0).

Having finished his plan, he realizes that it does not enable him to go home.
He thus goes back to work while keeping in mind that he must be able,
from the City Hall, to reach any point of the city (1).
After one moment of reflexion, he realizes that he must be able,
from any point of the city, to reach all the others (3).

Being proud of himself, he presents his project to his assistant, a well-
balanced man, who reminds him that during summer,
some streets of the city may be blocked by floods, they thus try to conceive a plan where
blocking any street does not make a district inaccessible (4).

But they are still not satisfied ; examining their plan, they see that
there are far too many paths from the downtown to the shopping center
and not enough in the other direction.

They try an ultimate improvement : to place the "one way" signs so that
the orientation of the streets be well-balanced (6).

Since then, the city was renamed "The Arcs".

Problems in the story :

- 0 Orientation, 1 rooted-connected, (2 k-rooted-connected,) 3 strongly-connected,
4 2-arc-connected, (5 k-arc-connected,) 6 well-balanced.
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1 Rooted-connected orientation :

Given an undirected graph G and a vertex s of G ,
there exists a *root-connected* orientation of G at s \Leftrightarrow
there exists an orientation of G containing an s -arborescence \Leftrightarrow
there exists a spanning tree of G \Leftrightarrow
 G is *connected*.

2 k-rooted-connected orientation : (Frank)

Given an undirected graph G , a vertex s of G and an integer $k \geq 1$,

there exists \vec{G} of G that is *k-root-connected* at $s \Leftrightarrow$ (Menger)

there exists \vec{G} of G with $\rho_{\vec{G}}(X) \geq k \forall X \subseteq V - s \Leftrightarrow$ (Edmonds)

there exists \vec{G} of G containing k arc-disjoint s -arborescences \Leftrightarrow

there exist k edge-disjoint spanning trees of $G \Leftrightarrow$ (Nash-Williams)

G is *k-partition-connected* for every partition \mathcal{P} of V , $|E(\mathcal{P})| \geq k(|\mathcal{P}| - 1)$.

3 strongly-connected orientation (Robbins)

Given an undirected graph G ,

there exists a *strongly-connected* orientation of $G \Leftrightarrow$

there is an orientation of G having a directed ear-decomposition \Leftrightarrow

there exists an ear-decomposition of $G \Leftrightarrow$

G is *2-edge-connected*.

4 2-arc-connected orientation, **5 k-arc-connected orientation**, already seen

6 well-balanced orientation, see later.
