

From extended formulations of polytopes to the Clique-Stable Set Separation

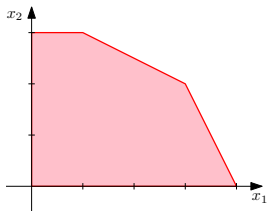
Aurélie Lagoutte

LIP, ENS Lyon

Joint work with N. Bousquet, S. Thomassé et T. Trunck

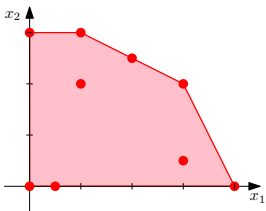
Thursday, December 4, 2014
G-SCOP Seminar

A polytope P in \mathbb{R}^2 :



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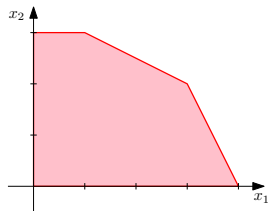
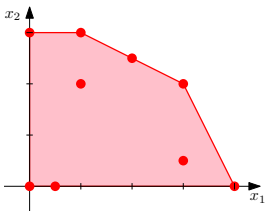


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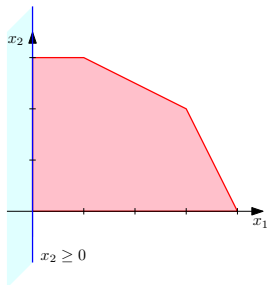
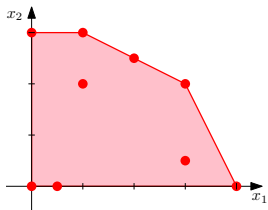
(=inequalities) :

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$$Ax \leq b$$

$$x \geq 0$$

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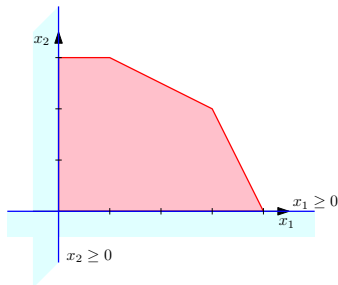
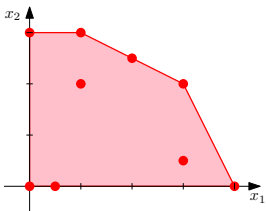
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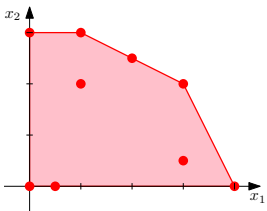
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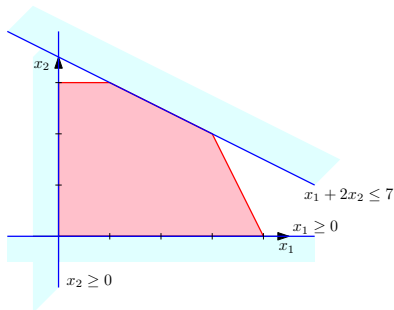
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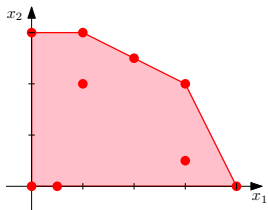
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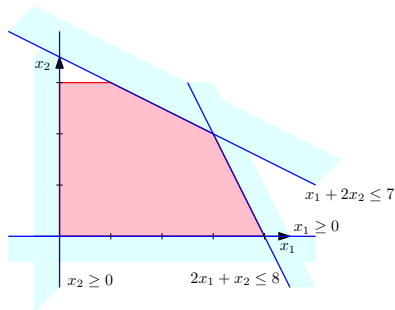
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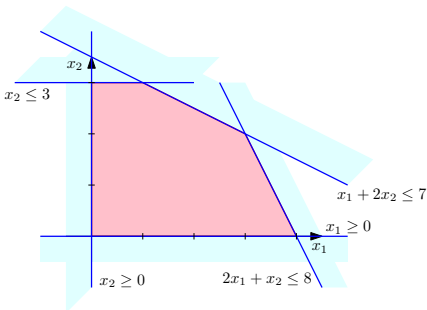
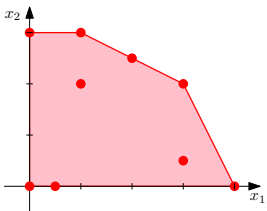
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Stable Set polytope

$STAB(G) = \text{conv}(\chi^S \in \mathbb{R}^n \mid S \subseteq V \text{ is a stable set of } G)$

where χ^S denotes the characteristic vector of $S \subseteq V$

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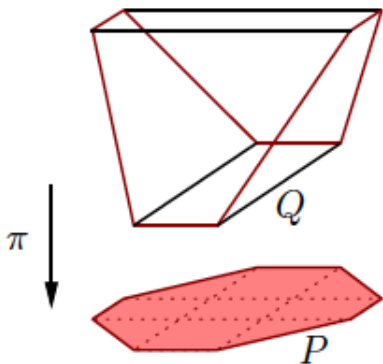
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These polytopes have many facets. In order to solve optimization problems with Linear Programming, we need polytopes with a small number of facets.



P : polytope in \mathbb{R}^2 we want to optimize on (8 facets)

Q : polytope in \mathbb{R}^3 which projects to P (6 facets)

\Rightarrow Easier to optimize on Q and project the solution !

Extended formulation

P : a polytope in \mathbb{R}^d .

Q : a polytope in higher dimension \mathbb{R}^r .

Q is an *extension* of P if there exists a linear map π such that $\pi(Q) = P$. The *size* of Q is the number of facets of Q .

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Equivalently, an *extended formulation* of P of *size* r is a linear system

$$Ex + Fy = g, \quad y \geq 0$$

in variables $(x, y) \in \mathbb{R}^{d+r}$

(E, F, g matrices/vector of suitable size).

Poly-time solvable :

- Matching polytope (Edmond's algorithm)
- Spanning Tree Polytope (Prim's and Kruskal's algorithms)
- Parity Polytope

NP-hard problems :

- Traveling Salesman Polytope
- Stable Set polytope
- Cut polytope
- Knapsack polytope

Poly-time solvable :

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- Spanning Tree Polytope (Prim's and Kruskal's algorithms) [4]
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Exponential lower bound on the extension complexity

Polynomial upper bound for the extension complexity

[1] : Rothvoss 13

[2] : Fiorini, Massar, Pokutta, Tiwary, deWolf 13

[3] : Pokuta, Van Vyve 13

[4] : Conforti, Cornuéjols, Zambelli (Survey) 10

Maximum Weighted Stable set

Variables : x_v for every vertex v

Objective function : $\max \sum_{v \in V} w_v x_v$ where $w_v :=$ weight of v

Subject to : $x_u + x_v \leq 1$ for every edge uv
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Optimal relaxation solution : $n/2$ ($1/2$ for every vertex).

Optimal Integer Linear Program solution : 1 (1 for one vertex, 0 for the others).

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\Rightarrow Bad solution !

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How to obtain lower bounds ?

Three comparable measures on polytope :

- Rectangle covering of the slack matrix $rc(M_{slack})$
- Non-negative rank of the slack matrix $rk_+(M_{slack})$
- The extension complexity of the polytope $xc(P)$

$$rc(P) \leq rk_+(P) = xc(P)$$

Slack matrix :

$$\begin{array}{l}
 \text{Constraint 1 : } A_1 x \leq b_1 \\
 \text{Constraint 2 : } A_2 x \leq b_2 \\
 \vdots \\
 \text{Constraint } i : A_i x \leq b_i \\
 \vdots
 \end{array}
 \begin{pmatrix}
 p_1 & p_2 & \dots & p_j & \dots \\
 0 & 2 & & & \\
 2 & 5 & & & \\
 & & & & \\
 0 & 0 & & b_i - A_i p_j & \\
 & & & &
 \end{pmatrix}$$

p_1, \dots, p_j, \dots are vertices of the polytope.

Slack matrix of the Stable set polytope :

$$\begin{array}{l}
 \text{Constraint } K_1 : \sum_{v \in K_1} x_v \leq 1 \\
 \text{Constraint } K_2 : \sum_{v \in K_2} x_v \leq 1 \\
 \vdots \\
 \text{Constraint } K_i : \sum_{v \in K_i} x_v \leq 1 \\
 \vdots \\
 \text{Other constraints}
 \end{array}
 \begin{pmatrix}
 & S_1 & S_2 & \dots & & S_j & & \dots \\
 & 0 & 1 & & & & & \\
 & 1 & 1 & & & & & \\
 & & & & & & & \\
 & 0 & 0 & & & 1 - |K_i \cap S_j| & & \\
 & & & & & & & \\
 & & & & & & &
 \end{pmatrix}$$

S_1, \dots, S_j, \dots are stable sets of G .

Non-negative rank of a matrix :

$$\underbrace{\begin{array}{|c|} \hline r \text{ columns} \\ \hline \end{array}} \begin{array}{|c|} \hline \begin{array}{ccc} - & - & - \\ y_1 & y_2 & y_3 \\ - & - & - \\ - & - & - \\ - & - & - \\ - & - & - \\ - & - & - \end{array} \\ \hline \end{array} \begin{array}{|c|} \hline \begin{array}{ccccccc} - & x_1 & - & - & - & - & - \\ - & x_2 & - & - & - & - & - \\ - & x_3 & - & - & - & - & - \end{array} \\ \hline \end{array} \begin{pmatrix} - & - & - & - & - & - & - \\ - & \sum_{i=1}^3 x_i y_i & - & - & - & - & - \\ - & - & - & - & - & - & - \\ - & - & - & - & - & - & - \\ - & - & - & - & - & - & - \\ - & - & - & - & - & - & - \\ - & - & - & - & - & - & - \end{pmatrix}$$

with $\forall i \quad x_i, y_i \geq 0$.

Equivalently : $rk_+(M)$ is the smallest integer such that $M = \sum_{i=1}^r R_i$ with R_i rank-1 matrices with non-negative entries.

Factorization theorem :

Theorem [Yannakakis 91]

For any polytope P and any of its slack matrix M , the following equality holds :

$$xc(P) = rk_+(M)$$

Another hidden tool in the slack matrix : Rectangle covering

$$\begin{pmatrix} - & 1 & 1 & - & - & - & - & - \\ - & 1 & 1 & - & - & - & - & - \\ - & 1 & 1 & 1 & - & - & 1 & - \\ - & - & - & - & - & - & - & - \\ - & - & - & - & - & - & - & - \\ 1 & 1 & 1 & 1 & - & - & 1 & - \\ 1 & 1 & - & - & - & - & - & - \end{pmatrix}$$

$rc(M)$ = minimum number of combinatorial rectangles needed to cover the support of M^1

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1. From now on, I will consider only 0/1 slack matrix, so $\text{supp}(M)=M$.

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$$\begin{pmatrix} - & 1 & 1 & - & - & - & - & - \\ - & 1 & 1 & - & - & - & - & - \\ - & 1 & 1 & 1 & - & - & 1 & - \\ - & - & - & - & - & - & - & - \\ - & - & - & - & - & - & - & - \\ 1 & 1 & 1 & 1 & - & - & 1 & - \\ 1 & 1 & - & - & - & - & - & - \end{pmatrix}$$

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Here : $rc(M) = 3$

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$$rc(M) \leq rk_+(M)$$

r columns

0	1	0
0	1	0
0	0	1
1	0	0
1	0	0
1	0	0
0	0	1

1	0	1	0	0	1	0	0
0	0	0	1	0	1	0	0
1	1	0	0	0	0	0	0

(0	0	0	1	0	1	0	0
	0	0	0	1	0	1	0	0
	1	1	0	0	0	0	0	0
	1	0	1	0	0	1	0	0
	1	0	1	0	0	1	0	0
	1	0	1	0	0	1	0	0
	1	1	0	0	0	0	0	0
	1	1	0	0	0	0	0	0
)								

$$rc(M) \leq rk_+(M)$$

$\underbrace{\hspace{10em}}_{r \text{ columns}}$

0	1	0
0	1	0
0	0	1
1	0	0
1	0	0
1	0	0
0	0	1

1	0	1	0	0	1	0	0
0	0	0	1	0	1	0	0
1	1	0	0	0	0	0	0

(0	0	0	1	0	1	0	0
	0	0	0	1	0	1	0	0
	1	1	0	0	0	0	0	0
	1	0	1	0	0	1	0	0
	1	0	1	0	0	1	0	0
	1	0	1	0	0	1	0	0
	1	1	0	0	0	0	0	0
	1	1	0	0	0	0	0	0
)								

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$\underbrace{\hspace{10em}}_{r \text{ columns}}$

0	1	0
0	1	0
0	0	1
1	0	0
1	0	0
1	0	0
0	0	1

1	0	1	0	0	1	0	0
0	0	0	1	0	1	0	0
1	1	0	0	0	0	0	0

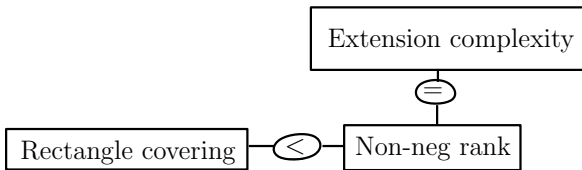
(0	0	0	1	0	1	0	0
	0	0	0	1	0	1	0	0
	1	1	0	0	0	0	0	0
	1	0	1	0	0	1	0	0
	1	0	1	0	0	1	0	0
	1	0	1	0	0	1	0	0
	1	1	0	0	0	0	0	0
	1	1	0	0	0	0	0	0
)								

Let us sum up :

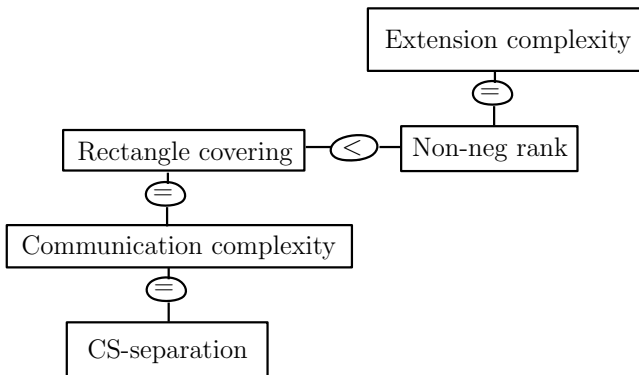
Rectangle covering

Extension complexity

Let us sum up :



Let us sum up :
 Stable set polytope for perfect graphs :



Clique vs Independent Set Problem

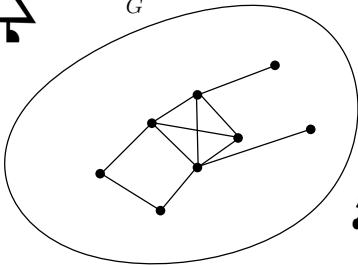
Alice



Bob



G



Prover

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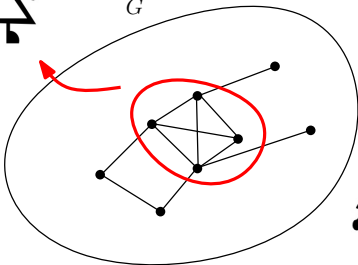
Alice



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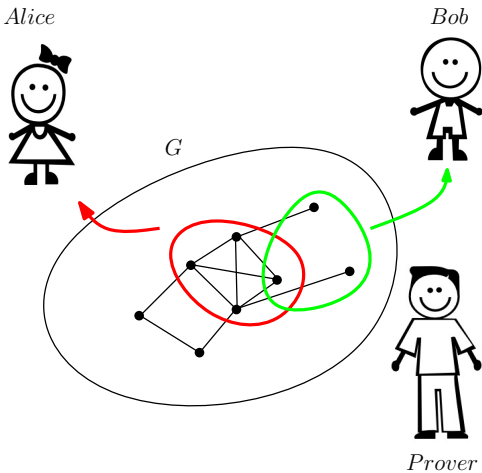


G

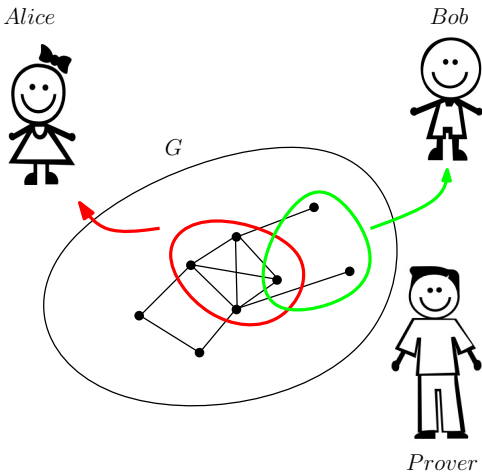


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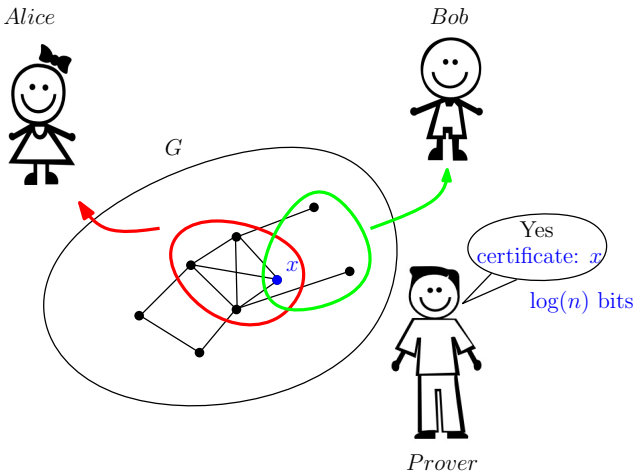


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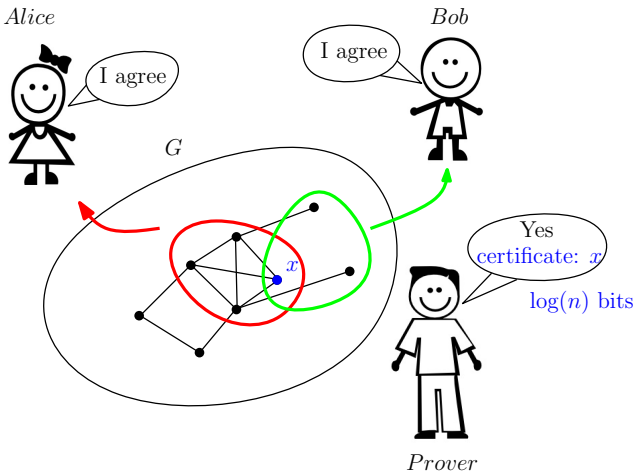
Do the clique and the stable set intersect?

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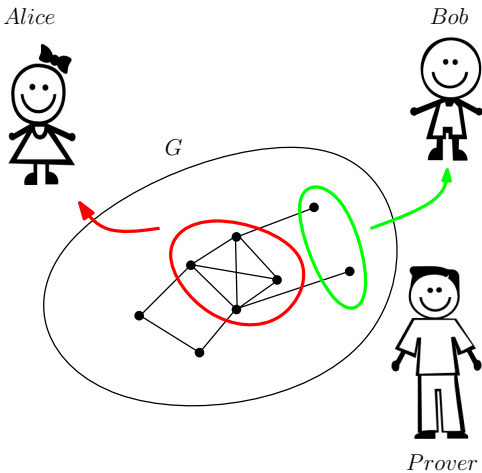
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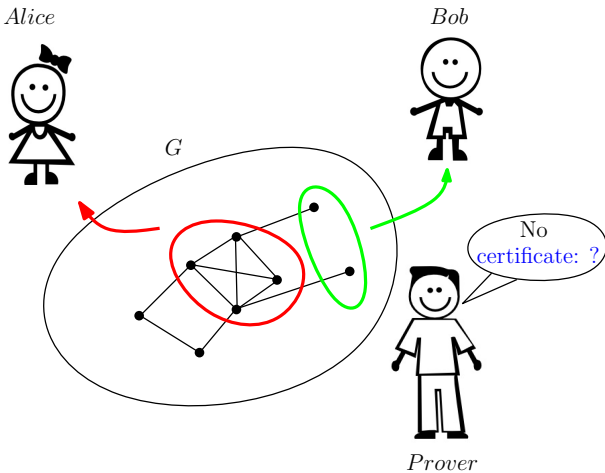
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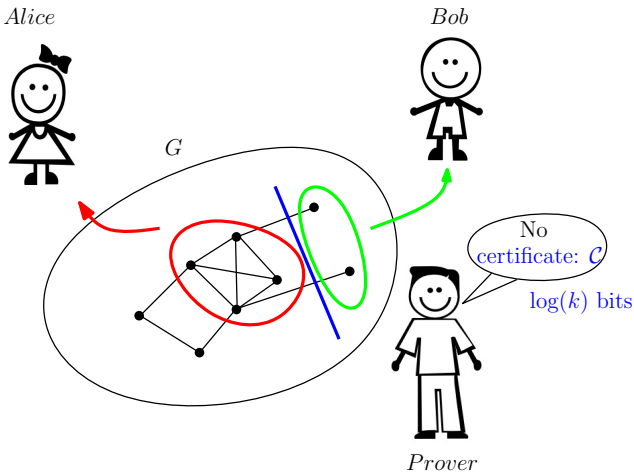
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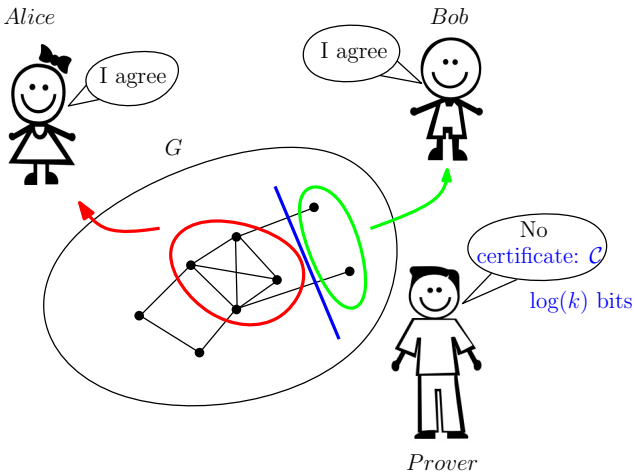
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$\log(rc(M)) =$ Non-det. communication complexity for this pb

$$\begin{array}{l}
 \text{Constr. } K_1 \\
 \text{Constr. } K_2 \\
 \text{Constr. } K_3 \\
 \text{Constr. } K_4 \\
 \text{Other constraints}
 \end{array}
 \left(\begin{array}{ccccc}
 S_1 & S_2 & S_3 & S_4 & S_5 \\
 1 & 1 & 0 & 0 & 1 \\
 1 & 1 & 1 & 1 & 0 \\
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 \end{array} \right)$$

$QSTAB(G) : M_{i,j} = 1 - |K_i \cap S_j|$

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$$\begin{array}{l}
 \text{Constr. } K_1 \\
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	Constr. K_1	1	1	0	0	1
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Alice →	Constr. K_3	0	1	1	1	0
	Constr. K_4	1	0	0	0	1
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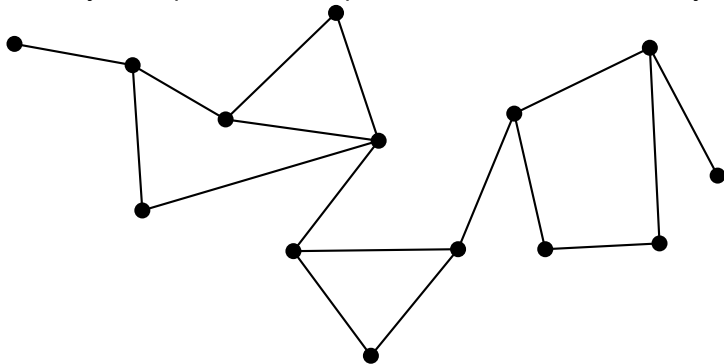
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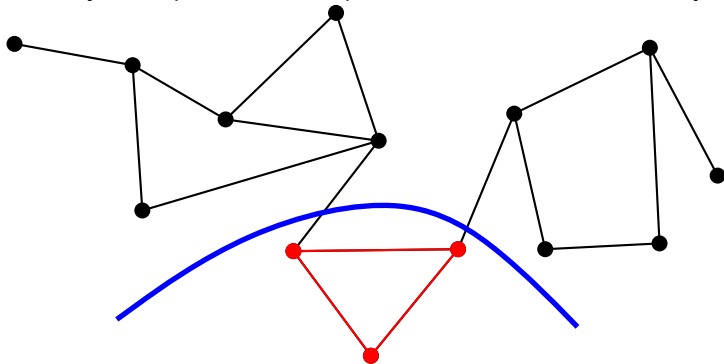
Does there exist for all graph G on n vertices a CS-separator of size $\text{poly}(n)$? Or for which classes of graphs does it exist ?

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An easy example : if the clique number ω is bounded, say by 3 :

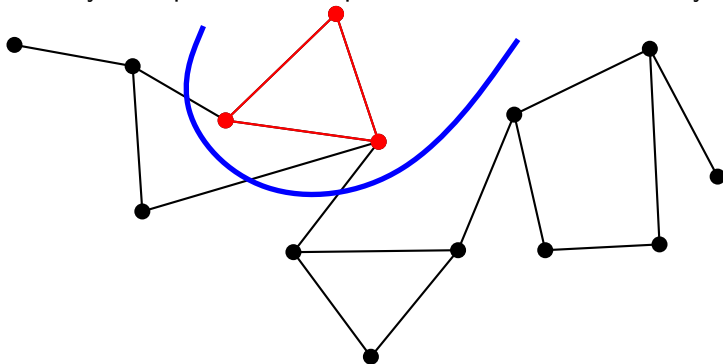


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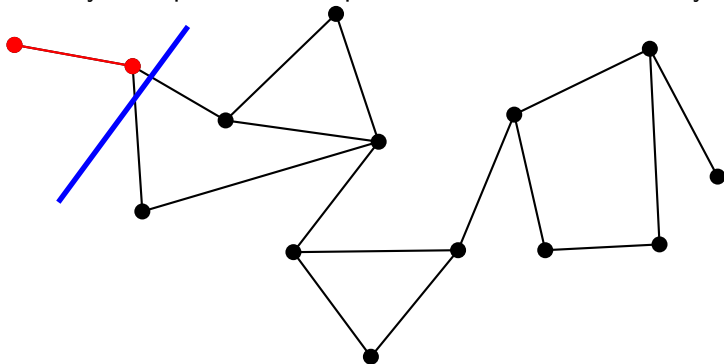
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Class of graphs	Poly CS-sep	Poly $rk_+(M_{QSTAB})$	Poly $rk_+(M_{STAB})$
<i>H</i> -free, <i>H</i> split	Yes	?	?
<i>H</i> -free, <i>H</i> : P_4 -free split	Yes	Yes (det)	?
P_4 -free	Yes	Yes	
$(P_k, \overline{P_k})$ -free (Strong EH)	Yes	Yes (det)	?
P_5 -free	Yes	Yes	Yes
Random	Yes	(?)	(?)
Perfect with no bal. skew part.	Yes	Not hereditary	
Perfect	?	?	
All graphs	?	?	No
P_k -free	?	?	?

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Split-free

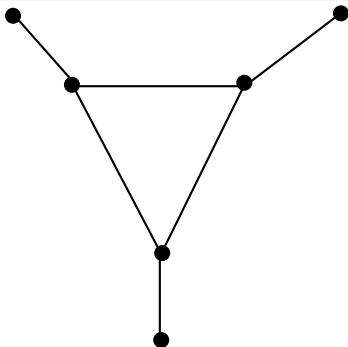
Comparability graphs [Yannakakis 1991]

Comparability graphs have a CS-separator of size $\mathcal{O}(n^2)$.

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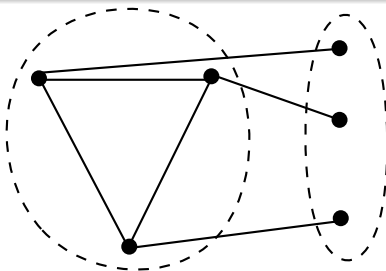
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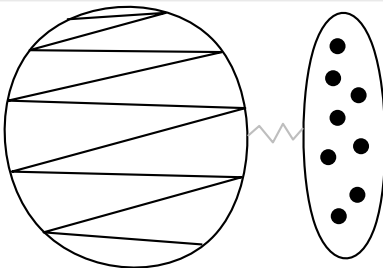
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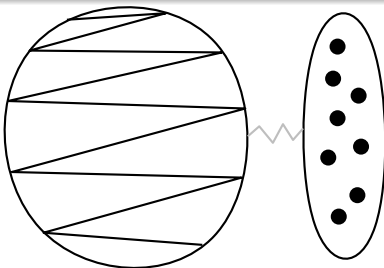
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Split-free

Split graph

A graph (V, E) is *split* if V can be partitioned into a clique and a stable set.

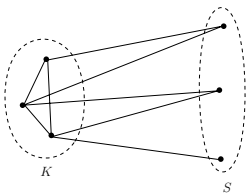


Split-free [Bousquet, L., Thomassé 2012]

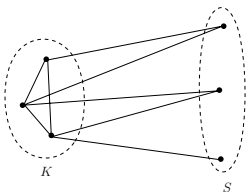
Let H be a split graph. Then every H -free graphs have a CS-separator of size $\mathcal{O}(n^{c_H})$.

Let H be a split graph.

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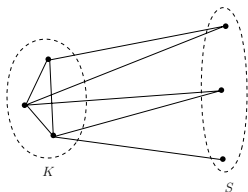


Key Lemma (using VC-dimension)

\exists a constant t s. t. \forall clique K and stable set S in a H -free :

- $\exists S' \subseteq S$ s. t. $|S'| = t$ and S' dominates K
- or, $\exists K' \subseteq K$ s. t. $|K'| = t$ and K' antidominates S

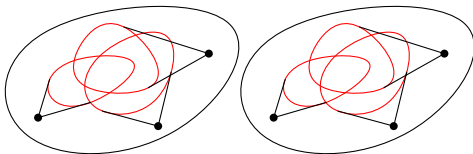
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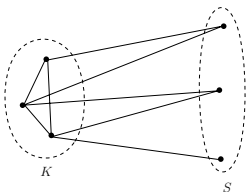
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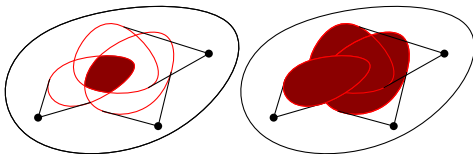
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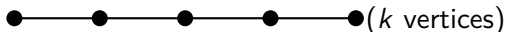
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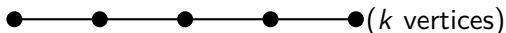
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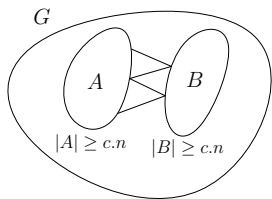
Strong Erdős-Hajnal prop. - $(P_k, \overline{P_k})$ -free [Bousquet, L., Thomassé]

For every k , there exists a constant $c > 0$ such that every graph G with no P_k nor $\overline{P_k}$ has two subsets of vertices A and B of size $\geq c.n$, with A complete to B or anticomplete to B .

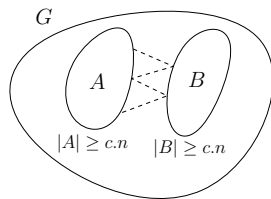


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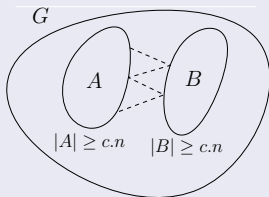


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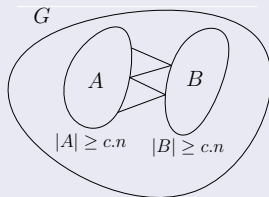


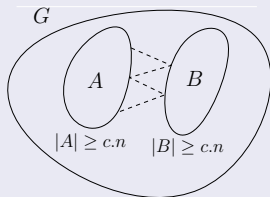
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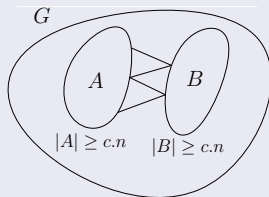


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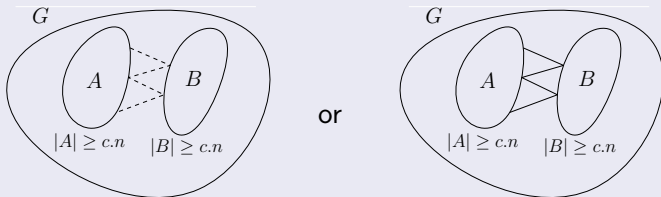


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CS-separation - $(P_k, \overline{P_k})$ -free [Bousquet, L., Thomassé 2013]

There exists a CS-separator of size $\mathcal{O}(n^{c_k})$ for every $(P_k, \overline{P_k})$ -free graph .

Strong EH \Rightarrow Deterministic protocol

Let \mathcal{C} be a hereditary class of graphs satisfying the Strong Erdős-Hajnal prop. Then there exists a *deterministic* protocol for Alice and Bob to decide whether $K \cap S = \emptyset$ or not.

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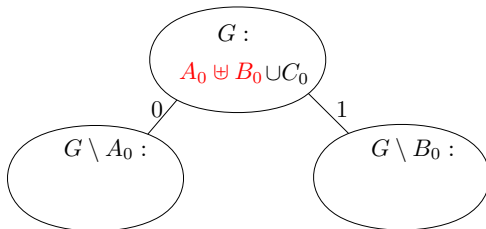
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$$G : \\ A_0 \uplus B_0 \cup C_0$$

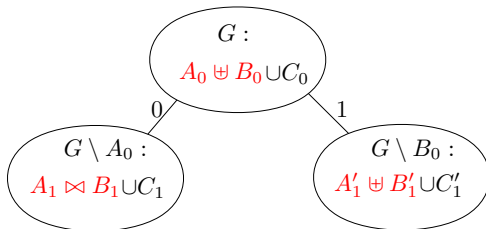
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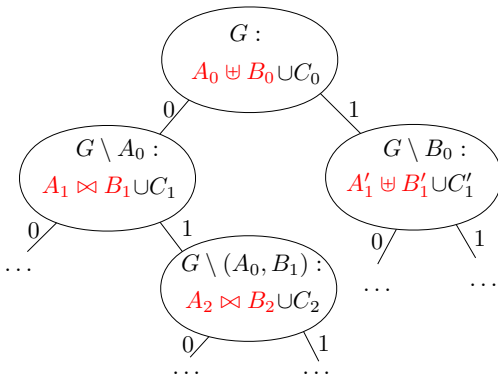
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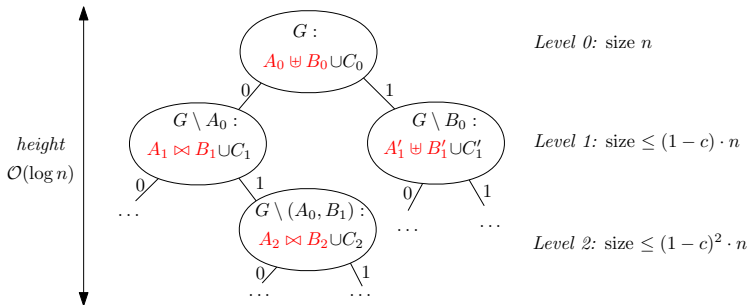
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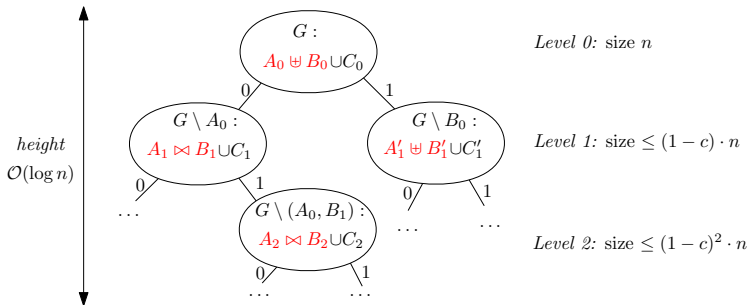
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At each step : Alice (for \oplus nodes) or Bob (for \otimes nodes) sends 1 bit.
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Number of steps : Height of the tree $\mathcal{O}(\log n)$.

Excluding only P_k and not $\overline{P_k}$?

Class of graphs	Poly CS-sep	Poly $rk_+(M_{QSTAB})$	Poly $rk_+(M_{STAB})$
H -free, H split	Yes	?	?
H -free, $H : P_4$ -free split	Yes	Yes (det)	?
P_4 -free	Yes	Yes	
$(P_k, \overline{P_k})$ -free (Strong EH)	Yes	Yes (det)	?
P_5 -free	Yes	Yes	Yes
Random	Yes	(?)	(?)
Perfect with no bal. skew part.	Yes	Not hereditary	
Perfect	?	?	
All graphs	?	?	No
P_k -free	?	?	?

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P_5 -free graphs [Loksthanov, Vatshelle, Villanger 2013]

Max. Weighted Stable Set is polytime solvable in P_5 -free graphs.
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Consequences from the stronger statement :

P_5 -free graphs [Bousquet, L., Thomassé 2013]

Every P_5 -free graph has a CS-separator of size $\mathcal{O}(n^8)$.

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Extended formulation for P_5 -free graphs [Conforti, Di Summa, Faenza, Fiorini, Pashkovich]

For every P_5 -free graph G , $STAB(G)$ has an extended formulation of polynomial size.

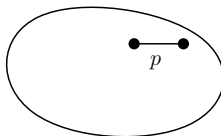
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Random graphs [Bousquet, L., Thomassé 2012]

For every $n \in \mathbb{N}$, $p \in [0, 1]$, there exists a set \mathcal{F} of $\mathcal{O}(n^7)$ cuts such that

$$\forall G \in G(n, p) \quad \Pr(\mathcal{F} \text{ is a CS-sep for } G) \xrightarrow[n \rightarrow +\infty]{} 1$$

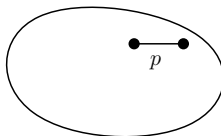


n vertices

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n vertices

Idea : since the edges are all drawn with the same probability p , cliques and stable sets can not both be too big.

Example for $p = 1/2$: $\alpha \approx \omega \approx 2 \log n$.

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Random	Yes	(?)	(?)
Perfect with no bal. skew part.	Yes	Not hereditary	
Perfect	?	?	
All graphs	?	?	No
P_k -free	?	?	?

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Perfect	?	?	
All graphs	?	?	No
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Perfect	?	?	
All graphs	?	?	No
P_k -free	?	?	?